



sector \$  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. A01073

JHR/ams

In re application of:

Jane Elizabeth Weier, et al. :

Serial No: 09/944,289 : Group Art Unit: 1714

Filed: August 31, 2001 : Examiner: Not Yet Assigned

For: Functional Liquid-Solid Additive Systems:  
Compositions, Processes, and Products Thereof

**BOX: MISSING PARTS**

Assistant Commissioner for Patents  
Washington, DC 20231

CERTIFICATE OF MAILING

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on the date indicated next to my signature below:

Response to Notice to File Missing Parts of Application  
Copy of Notice to File Missing Parts of Application  
Missing Part - Declaration  
Fee Transmittal (in duplicate)  
Return Receipt Postcard

October 26, 2001  
Date

Angela M. Molenchinski  
Signature



#3

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty Docket No. A01073  
JHR/ams

In re application of  
Jane Elizabeth Weier, et al. :

Serial No.: 09/944,289 : Group Art Unit: 1714

Filed: August 31, 2001 : Examiner: Not Yet Assigned

For: Functional Liquid-Solid Additive Systems:  
Compositions, Processes, and Products Thereof

Assistant Commissioner for Patents  
**BOX: MISSING PARTS**  
Washington, DC 20231

RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION

Sir:

In response to the Official Action dated October 4, 2001, the undersigned Attorney/Agent has enclosed a duly executed Declaration and Power of Attorney and authorization for payment of the deficiency of the statutory basic filing fees. A copy of the "Notice To File Missing Parts of Application" is also enclosed.

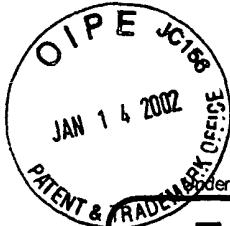
Please charge the payment of the surcharge to Deposit Account Number 18-1850. A Fee Sheet is enclosed in duplicate.

Respectfully submitted,

A handwritten signature in black ink.

Stephen T. Falk  
Attorney/Agent for Applicant(s)  
Reg. No. 36,795  
Tel. No. (215)-592-6745

Rohm and Haas Company  
100 Independence Mall West  
Philadelphia, PA 19106-2399  
October 26, 2001



# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 130)

## Complete if Known

Application Number	09/944,289
Filing Date	August 31, 2001
First Named Inventor	Jane Elizabeth Weier
Examiner Name	Not Yet Assigned
Group Art Unit	1714
Attorney Docket No.	A01073

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

**18-1850**

Deposit Account Number  
Deposit Account Name  
**Rohm and Haas Company**

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status.  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee			
106 330	206 165	Design filing fee			
107 510	207 255	Plant filing fee			
108 740	208 370	Reissue filing fee			
114 160	214 80	Provisional filing fee			

**SUBTOTAL (1) (\$)**

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
independent	-20**	= <input type="text"/> X <input type="text"/> = <input type="text"/>	
Claims	-3*	= <input type="text"/> X <input type="text"/> = <input type="text"/>	
Multiple Dependent			

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20		
102 84	202 42	Independent claims in excess of 3		
104 280	204 140	Multiple dependent claim, if not paid		
109 84	209 42	** Reissue independent claims over original patent		
110 18	210 9	** Reissue claims in excess of 20 and over original patent		

**SUBTOTAL (2) (\$)**

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.1 7(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					
- Reduced by Basic Filing Fee Paid					<b>SUBTOTAL (3) (\$)</b> 130

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Stephen T. Falk	Registration No. (Attorney/Agent)	36,795	Telephone	(215) 592-6745
Signature				Date	10/26/01

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office Washington, DC 20591. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: U.S. Patent and Trademark Office, Washington, DC 20591. 00000-00000



#3

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/944,289	08/31/2001	Jane Elizabeth Weier	A01073

**CONFIRMATION NO. 1887**

21898  
ROHM AND HAAS COMPANY  
PATENT DEPARTMENT  
100 INDEPENDENCE MALL WEST  
PHILADELPHIA, PA 19106-2399

**FORMALITIES LETTER**



\*OC00000006850385\*

Date Mailed: 10/04/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/10/2002 MBIZUNES 00000045 181850 09944289

01 FC:105 130.00 CH